

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13906-138001	Application No. 10/642,499
	Applicant Matthias Vogel et al.		
	Filing Date August 18, 2003	Group Art Unit 2131	

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
FH	AA	5,941,947	08/24/99	Brown, et al.			
FH	AB	2002/178119	11/28/02	Wilson, et al.			
FH	AC	2002/184535	12/05/02	Laracuente, et al.			
	AD						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
FH	AE	0697662	02/21/96	Europe				
FH	AF	0913966	05/06/99	Europe				
	AG							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
FH	AH	Barkley, et al. "Managing Role/Permission Relationships Using Object Access Types," <u>Proceedings of the 3rd ACM Workshop on Role-Based Access Control</u> , New York, US, October 22-23, 1998, pp. 73-80.
FH	AI	Deng, et al., "A Dynamic Access Control Model for Object-Oriented System," <u>Institute of Electrical and Electronics Engineers</u> , New York, US, October 13-15, 1993, pp. 159-163.
FH	AJ	Schaad, et al. "The Role-Based Access Control System of a European Bank: A Case Study and Discussion," <u>Proceedings of The 6th ACM Symposium on Access Control Models and Technologies</u> , Chantilly, VA, May 3-4, 2001, pp. 3-9.
	AK	

Examiner Signature /Farid Homayounmehr/	Date Considered 10/30/2006
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Sheet 1 of 1

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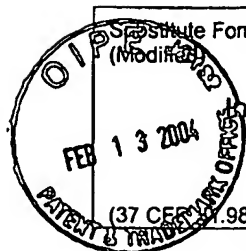
U.S. Patent Documents							
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	AA						

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							Yes	No
FH	AB	0 992 873	04/12/00	Europe				
	AC							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
FH	AD	Sandhu, et al. "Access Control: Principles and Practice," <u>IEEE Communications Magazine</u> , September 1, 1994, Vol. 32, No. 9, pp. 40-48
	AE	

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FH	AA	6,591,265	07/08/2003	Erickson et al.			
FH	AB	6,578,037	06/10/2003	Wong et al.			
FH	AC	6,539,380	03/25/2003	Moran			
FH	AD	6,535,879	03/18/2003	Behera			
FH	AE	6,513,039	01/28/2003	Kraenzel			
FH	AF	6,484,258	11/19/2002	Haverty			
FH	AG	6,470,353	10/22/2002	Yaung et al.			
FH	AH	6,470,339	10/22/2002	Karp et al.			

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							Yes	No
	AI							

Other Documents (include Author, Title, Date, and Place of Publication)

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FH	AJ	"XACML: A New Standard Protects Content in Data Exchange," June 24, 2003, 7 pages, reprinted from http://developer.java.sun.com/developer/technicalArticles/Security/xacml/xacml.html
FH	AK	"Sun's XACML Implementation," June 23, 2003 Update, 2 pages, reprinted from http://sunxacml.sourceforge.net
FH	AL	"OASIS: XACML Implementers Guide," Hal Lockhart, ed., June 12, 2002, 5 pages
FH	AM	"OASIS: Web-Services Policy Language Use-Cases and Requirements, Working Draft 04," Tim Moses, ed., April 16, 2003, 23 pages
FH	AN	"OASIS: "eXtensible Access Control Markup Language (XACML) Version 1.0, OASIS Standard," Simon Godik and Tim Moses, eds., February 18, 2003, 132 pages

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